## CERTIFICATE OF MAILING

Attorney for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

**Applicant** 

Daniel G. Wolfe et al.

Filed Title

IMPROVED SECURITY APPARATUS, SYSTEM, AND

**METHOD** 

TC/A.U.

(not yet assigned)

Examiner

(not yet assigned)

Docket No.

3432.2.2.1

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), the enclosed Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. § 102 or § 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, transmitted herewith are:

A completed copy of Form PTO/SB/08A "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration.

The patents, publications and other items of information listed on Form PTO/SB/08A were previously cited by and/or submitted to the Patent and Trademark Office in Applicants' prior application Serial No. 10/636,348 (filed August 7, 2003 for "IMPROVED SECURITY APPARATUS, SYSTEM, AND METHOD"), which is being relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), therefore, copies of these patents, publications and other items of information are not being submitted with this Statement.

Respectfully submitted,

Crang J. Madsph

Reg. No. 29,407

Attorney for Applicant(s)

Date: December 17, 2003

MADSON & METCALF Gateway Tower West 15 West South Temple, Suite 900 Salt Lake City, Utah 84101 Telephone: 801/537-1700

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

| Complete if Known      |                 |  |  |
|------------------------|-----------------|--|--|
| Application Number     |                 |  |  |
| Filing Date            |                 |  |  |
| First Named Inventor   | Daniel G. Wolfe |  |  |
| Group Art Unit         |                 |  |  |
| Examiner Name          |                 |  |  |
| Attorney Docket Number | 3432.2.2.1      |  |  |

| Examiner Initials • |           | Document Number                           | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|---------------------|-----------|---|--------------------------------|--|---|
|                     | Cite No.1 | Number - Kind Code <sup>2 (F known)</sup> |                                |  |   |
|                     | U1        | US-3,309,689                              | Mar. 14, 1967                  | C.G. Keeney  |   |
|                     | U1        | US-6,380,850                              | Apr. 30, 2002                  | Parker   |   |
|                     | U2        | US-6,462,654                              | Oct. 8, 2002                   | Sandelman et al.                                   |   |
| -                   | U2        | US-3,476,948                              | Nov. 4, 1969                   | P.E. Mengers                                       |   |
|                     | U3        | US-3,863,239                              | Jan. 28, 1975                  | Campman  |   |
|                     | U4        | US-3,955,184                              | May 4, 1976                    | Cinzori et al                                      |   |
|                     | U5        | US-5,200,735                              | April 6, 1993                  | Hines  |   |
|                     | U6        | US-5,309,145                              | May 3, 1994                    | Branch et al.                                      | · · · · · · · · · · · · · · · · · · ·   |
| -                   | U7        | US-5,440,292                              | Aug. 8, 1995                   | Bedrosian  |   |
|                     | U8        | US-5,463,595                              | Oct. 31, 1995                  | Rodhall et al.                                     |   |
|                     | U9        | US-5,565,844                              | Oct. 15, 1996                  | Bedrosian  |   |
|                     | U10       | US-5,587,701                              | Dec. 24, 1996                  | Hess   | •   |
|                     | U11       | US-5,652,567                              | Jul. 29, 1997                  | Traxler  |   |
|                     | U12       | US-6,118,375                              | Sep. 12, 2000                  | Duncan   |   |
|                     | U13       | US-6,130,610                              | Oct. 10, 2000                  | Schilsky et al.                                    |   |
|                     | U14       | US-6,181,244                              | Jan. 30, 2001                  | Hall et al.  |   |
|                     | U15       | US-6,239,700                              | May 29, 2001                   | Hoffman et al.                                     |   |
|                     | U16       | US-6259,367                               | Jul. 10, 2001                  | Klein  | ,   |
|                     | U17       | US-6,265,966                              | Jul. 24, 2001                  | Ireland et al.                                     |   |
|                     | U18       | US-6,380,850                              | Apr. 30, 2002                  | Parker   |   |
|                     | U19       | US-6,462,654                              | Oct. 8, 2002                   | Sandelman et al.                                   |   |
|                     | U20       | US 2002/0070856 A1                        | Jun. 13, 2002                  | Wolfe  |   |
|                     | U21       | US-5,793,283                              | Aug. 11, 1998                  | Davis  |   |

|                       | <br>               |  |
|-----------------------|--------------------|--|
| Examiner<br>Signature | Date<br>Considered |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

| Executed this 12 day of <u>December</u> , 2003, at <u>Orem</u> , <u>Utah</u>   |
|--|
| Daniel G. Wolf   |
| Daniel G. Wolfe  |
| 10922 Panorama Drive   |
| Highland, Utah 84003   |
| i /  |
| STATE OF INTO )  |
| COUNTY OF  |
| On this 12 <sup>th</sup> day of <u>December</u> , 2003, personally appeared before me Daniel G. Wolfe, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment, and acknowledged that he executed the same. |
| SEBECCA LU HANSEN  LE HOTARY PUBLIC STATE OF UITAH  NOTARY PUBLIC  |

| Executed this | 12 day of | Dec | , 2003, at |
|---------------|-----------|-----|------------|
|---------------|-----------|-----|------------|

Philip A. Bunker 946 East 880 North Orem, Utah 84097

STATE OF  $UT\mu H$  ) :s:

COUNTY OF  $UT\mu H$  )

On this 12 day of Dec, 2003, personally appeared before me Philip A. Bunker, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment, and acknowledged that he executed the same.

OREM, UT 84057 OMM. EXP 7-16-2004

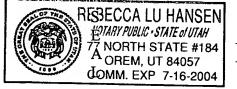
| Executed this 12 day o   | of <u>December</u> , 2003, at   |
|--|---|
|  | Chester Ferry 3771 North 1270 West Pleasant Grove, Utah 84062   |
| STATE OF Why COUNTY OF Why   | )<br>:ss<br>)   |
| me Chester Ferry, proved to me o   | n the basis of satisfactory evidence to be the person his Assignment, and acknowledged that he executed the |
| REBECCA LU HANSEN  NOTARY PUBLIC • STATE OI UTAH  77 NORTH STATE #184  OREM, UT 84057  L COMM. EXP 7-16-2004 | Lefecen for Harrin NOTARY PUBLIC 7-16-2004 COMMISSION EXPIRATION  |

| Executed this | 12 | day of December | , 2003, at |
|---------------|----|-----------------|------------|
| Orem UTah     |    |                 |            |

Robert E. Gooch 751 West 550 South Orem, Utah 84058

STATE OF Why :ss

On this 12 day of \_\_\_\_\_\_\_, 2003, personally appeared before me Robert E. Gooch, proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to on this Assignment, and acknowledged that he executed the same.



6 Jelen In Hansen NOTARY PUBLIC 7-16-2004 COMMISSION EXPIRATION